

# Higher homotopy normalities in topological groups

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## 1. Higher homotopy associativity and commutativity

- ▶ See how multiplicative structures and classifying spaces are related.

## 2. Higher homotopy normality

- ▶ Recall classical homotopy normal maps, which are generalizations of normal subgroups (and crossed modules).
- ▶ Define higher homotopy variant of homotopy normal maps, called  $N_k(\ell)$ -map ( $0 \leq k, \ell \leq \infty$ ).

## 3. Results

- ▶ The main theorem characterizes  $N_k(\ell)$ -maps by a method of fiberwise homotopy theory.
- ▶ Some computational examples on classical groups.

# Higher homotopy associativity and commutativity

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# H-map

- ▶ A map  $f: G \rightarrow G'$  between topological groups is said to be an **H-map** if

$$f \circ \mu \simeq \mu \circ (f \times f).$$

We can say an H-map is “a homomorphism up to homotopy”.

- ▶ However, H-map is far from homomorphism. There exists an H-map  $f: G \rightarrow G'$  not homotopy equivalent to any homomorphism  $f': K \rightarrow K'$  between topological groups as in the following diagram:

$$\begin{array}{ccc} G & \xrightarrow{f} & G' \\ \downarrow \simeq & & \downarrow \simeq \\ K & \xrightarrow{\text{a homomorphism}} & K' \end{array}$$

- ▶ This difference can be understood by considering higher homotopy.

# Classifying space and projective spaces

- ▶ The **classifying space**  $BG$  of a topological group  $G$  is constructed as the quotient

$$BG = \left( \coprod_{i \geq 0} \Delta^i \times G^i \right) / \sim$$

by some simplicial relation  $\sim$ .

- ▶ The image of  $\Delta^k \times G^k$  is written by  $B_k G$  ( $k$ -th **projective space**). Then we obtain the filtration

$$* = B_0 G \subset \Sigma G = B_1 G \subset B_2 G \subset \cdots \subset B_k G \subset \cdots \subset BG.$$

- ▶ If  $f: G \rightarrow G'$  is a homomorphism, then we have the induced maps

$$B_k f: B_k G \rightarrow B_k G', \quad Bf: BG \rightarrow BG'.$$

# Examples of classifying spaces and projective spaces

- ▶ When  $G = S^0, S^1$  and  $S^3$ ,  $B_k S^0 = \mathbb{R}P^k$ ,  $B_k S^1 = \mathbb{C}P^k$  and  $B_k S^3 = \mathbb{H}P^k$ , respectively.
- ▶ When  $G = U(n)$ ,  $B U(n) \simeq G_n(\mathbb{C}^\infty)$  (the Grassmannian of  $n$ -planes in  $\mathbb{C}^\infty$ ). In general,  $B_k U(n)$  is not a manifold.

# $A_\infty$ -map

- ▶ A map  $f: G \rightarrow G'$  is said to be an  $A_\infty$ -map if it admits an  $A_\infty$ -form  $\{f_i: I^{i-1} \times G^i \rightarrow G'\}_{i \geq 1}$ , which describes how the associativity is preserved through  $f$ .
- ▶ What is an  $A_\infty$ -form  $\{f_i: I^{i-1} \times G^i \rightarrow G'\}_{i \geq 1}$ ?
  - ▶  $f_1 = f$ .
  - ▶  $f_2: I \times G^2 \rightarrow G'$  is a homotopy between  $f \circ \mu$  and  $\mu \circ (f \times f)$ .
  - ▶  $f_3: [0, 1]^2 \times G^3 \rightarrow G'$  is depicted as follows.

$$\begin{array}{ccc} f(h_1 h_2) f(h_3) & & f(h_1) f(h_2) f(h_3) \\ & \square & \\ & f_3 & \\ & & \\ f(h_1 h_2 h_3) & & f(h_1) f(h_2 h_3) \end{array}$$

- ▶ We will call a pair  $(f, \{f_i\}_i)$  an  $A_\infty$ -map.

# Classifying space and $A_\infty$ -map

- ▶ **Theorem (Sugawara, 1960).** A map  $f: G \rightarrow G'$  admits an  $A_\infty$ -form if and only if the suspension  $\Sigma f: \Sigma G \rightarrow \Sigma G'$  extends to a map between the classifying space  $BG \rightarrow BG'$ :

$$\begin{array}{ccc} \Sigma G & \xrightarrow{\Sigma f} & \Sigma G' \\ \text{inclusion} \downarrow & & \downarrow \text{inclusion} \\ BG & \xrightarrow{\exists} & BG' \end{array}$$

- ▶ By the simplicial loop group construction, an  $A_\infty$ -map  $f: G \rightarrow G'$  is homotopy equivalent to some homomorphism between topological groups in the previous sense.



# $A_k$ -map

- ▶ Stasheff (1963) considered the intermediate objects between  $H$ -map and  $A_\infty$ -map: a map  $f: G \rightarrow G'$  is said to be an  $A_k$ -map if it admits an  $A_k$ -form  $\{f_i: I^{i-1} \times G^i \rightarrow G'\}_{1 \leq i \leq k}$ .
  - ▶ An  $A_1$ -map is just a map.
  - ▶ An  $A_2$ -map is an  $H$ -map (with homotopy  $f \circ \mu \simeq \mu \circ (f \times f)$ ).
- ▶ **Theorem (Stasheff, 1963).** A map  $f: G \rightarrow G'$  admits an  $A_k$ -form if and only if the suspension  $\Sigma f: \Sigma G \rightarrow \Sigma G'$  extends to a map from  $B_k G$  to  $BG'$ :

$$\begin{array}{ccc} \Sigma G & \xrightarrow{f} & \Sigma G' \\ \text{inclusion} \downarrow & & \downarrow \text{inclusion} \\ B_k G & \xrightarrow{\exists} & BG' \end{array}$$

# Homotopy commutativity

- ▶ A topological group  $G$  is said to be **homotopy commutative** if the Samelson product

$$G \wedge G \rightarrow G, \quad (x, y) \mapsto xyx^{-1}y^{-1}$$

is null-homotopic.

- ▶ Through the isomorphisms

$$[G \wedge G, G] \cong [G \wedge G, \Omega BG] \cong [\Sigma G \wedge G, BG],$$

the Samelson product corresponds to the Whitehead product  $[\iota, \iota]$  of the inclusion  $\iota: \Sigma G \rightarrow BG$ .

- ▶ So,  $G$  is homotopy commutative if and only if  $[\iota, \iota] = 0$ .

# Higher homotopy commutativity

- ▶ A topological group  $G$  is said to be a  $C_k$ -space in the sense of Sugawara (defined by McGibbon 1989) if the multiplication  $G \times G \rightarrow G$  is an  $A_k$ -map.
  - ▶ Remark. This definition is similar to the fact that a group  $G$  is abelian if and only if the multiplication  $G \times G \rightarrow G$  is a homomorphism.
  - ▶  $G$  is a  $C_2$ -space if and only if  $G$  is homotopy commutative.
- ▶ An equivalent condition is as follows: the wedge sum of the inclusion

$$B_k G \vee B_k G \rightarrow BG$$

extends over the union

$$\bigcup_{i+j=k} B_i G \times B_j G \rightarrow BG.$$

## Higher homotopy commutativity (continued)

- ▶ Remark. There is another notion of  $C_k$ -space in the sense of Williams, which is a bit weaker than Sugawara's.
- ▶ Remark.  $G$  is a  $C_\infty$ -space in the sense of Sugawara if and only if  $BG$  is an  $H$ -space. This condition is much weaker than requiring  $G$  to be a double loop space (equivalently,  $BG$  to be a loop space).
- ▶ The higher homotopy commutativity of Lie groups and their  $p$ -localizations has been extensively studied. Roughly speaking, the  $p$ -local homotopy commutativity gets higher as  $p$  gets bigger. Let us see a typical argument to show the non-commutativity in the next slide.

## Example of non-commutativity

Let  $G = \mathrm{SU}(2) = S^3$  and  $p$  an odd prime. Suppose  $k \geq \frac{p+1}{2}$  and the wedge sum of the inclusion

$$\mathbb{H}P^k \vee \mathbb{H}P^k \rightarrow \mathbb{H}P^\infty$$

extends to a map

$$f: B = \bigcup_{i+j=k} \mathbb{H}P^i \times \mathbb{H}P^j \rightarrow \mathbb{H}P^\infty.$$

We know  $\mathcal{P}^1 x = ax^{\frac{p+1}{2}}$  with  $a \neq 0$  for a generator  $x \in H^4(\mathbb{H}P^\infty; \mathbb{F}_p)$ . Then the coefficient of  $x^i \times x^j$  with  $i, j > 0$  in  $f^* \mathcal{P}^1 x$  is nontrivial in

$$H^*(B; \mathbb{F}_p) = \mathbb{F}_p[x \times 1, 1 \times x] / (x^i \times x^j \mid i + j > k).$$

But this contradicts to the computation of  $\mathcal{P}^1 f^* x$  by the Cartan formula and  $f^* x = x \times 1 + 1 \times x$ . This contradicts to  $\mathcal{P}^1 x = ax^{\frac{p+1}{2}}$  and  $a \neq 0$ . Therefore,  $\mathrm{SU}(2)$  is not  $p$ -locally a  $C_k$ -space.

# Higher homotopy normality

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# Crossed module

- ▶ In the rest of this talk, let  $H$  and  $G$  be topological groups of homotopy types of CW complexes.
- ▶ Recall that a normal subgroup  $H \subset G$  is a subgroup stable under the inner automorphisms.
- ▶ **Crossed module** is a generalization of normal subgroup to general homomorphisms  $H \rightarrow G$ .
- ▶ **Definition.** A **(topological) crossed module** consists of homomorphisms  $f: H \rightarrow G$  and  $\rho: G \rightarrow \text{Aut}(H)$  satisfying the conditions
  - ▶  $\rho(f(h))(x) = hxh^{-1}$  for any  $x, h \in H$ ,
  - ▶  $f(\rho(g)(x)) = gf(x)g^{-1}$  for any  $x \in H$  and  $g \in G$ .
- ▶ Remark.  $f(\rho(g)(x)) = gf(x)g^{-1} \Leftrightarrow g^{-1}f(\rho(g)(x))g = f(x)$ .

# Homotopy quotient of crossed module

- ▶ **Theorem (Farjoun–Segev, 2010).** The Borel construction  $K = EH \times_H G$  of a crossed module  $f: H \rightarrow G$  naturally inherits a group structure. Moreover, there exists a homotopy fiber sequence

$$\cdots \rightarrow H \xrightarrow{f} G \rightarrow K \rightarrow BH \xrightarrow{Bf} BG \rightarrow BK.$$

- ▶ When  $H \subset G$  is a closed normal subgroup, the natural homotopy equivalence  $K \rightarrow G/H$  is a homomorphism. Then we should consider that  $K$  is “the homotopy quotient group of a homotopically normal subgroup”.
- ▶ My initial motivation for higher homotopy normality was to generalize this result to higher homotopy theoretic setting. However,  $N_\infty(\infty)$ -map turned out to be much weaker than crossed module (this kind of phenomena will appear later).



# Topological category $\mathcal{A}_k$

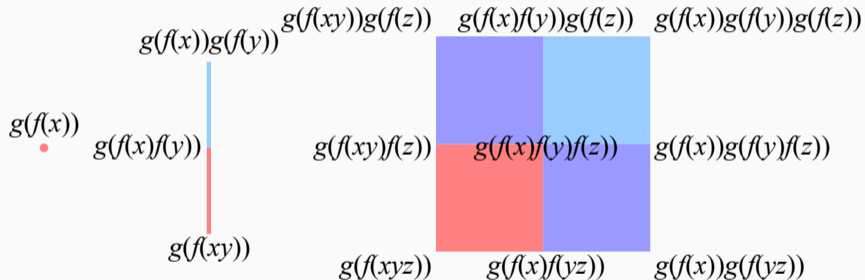
- ▶ Let us give a naive construction of a category of topological groups and  $A_k$ -maps between them. Our argument could work in other appropriate higher categorical setting.
- ▶ Let

$$\mathcal{A}_k(G, G') \subset \prod_{1 \leq i \leq k} \text{Map}(I^{i-1} \times G^i, G')$$

be the space of  $A_k$ -maps.

# Topological category $\mathcal{A}_k$ (continued)

- We have the composition of  $A_k$ -maps as follows:



Modifying  $\mathcal{A}_k(G, G')$  and the composition like Moore path, we can make this composition unital and associative.

## Topological category $\mathcal{A}_k$ (continued)

- ▶ Then we obtain the topological category  $\mathcal{A}_k$  of topological groups and  $A_k$ -maps.
  - ▶ In particular, the space of self  $A_k$ -maps  $\mathcal{A}_k(G, G)$  is a topological monoid.
- ▶ We have a continuous functor  $B_k: \mathcal{A}_k \rightarrow \mathbf{Spaces}_*$ .
- ▶ **Theorem (T. 2016).** The following composite is a weak homotopy equivalence:

$$\mathcal{A}_k(G, G') \xrightarrow{B_k} \text{Map}_*(B_k G, B_k G') \xrightarrow{\text{inclusion}} \text{Map}_*(B_k G, BG').$$

## $N_k(\ell)$ -map

- ▶ Let  $\text{conj}_H: H \rightarrow \mathcal{A}_\ell(H, H)$  denote the conjugation  $\text{conj}_H(h)(x) = hxh^{-1}$ .
- ▶ **Definition (T. 2023).** A homomorphism  $f: H \rightarrow G$  is an  $N_k(\ell)$ -map if an  $A_k$ -map  $\rho: G \rightarrow \mathcal{A}_\ell(H, H)$  is given and the following conditions hold:
  - ▶  $\rho \circ f$  is homotopic to  $\text{conj}_H$  as an  $A_\ell$ -map,
  - ▶ the map  $* \rightarrow \mathcal{A}_\ell(H, G)$ ,  $* \mapsto f$  is  $A_k$ -equivariant with respect to the action of  $G$ ,
  - ▶ the higher homotopies appearing in the first and second conditions coincide on  $H$ .
- ▶ This is a higher homotopy analogue of crossed module.

# $N_1(1)$ -map and James' homotopy normal map

- ▶ **Definition (McCarty 1964).** A homomorphism  $f: H \rightarrow G$  is **homotopy normal** (an  $N_1(1)$ -map) if there exists a map  $\tilde{\gamma}: G \wedge H \rightarrow H$  making the diagram

$$\begin{array}{ccc} H \wedge H & \xrightarrow{\gamma_H} & H \\ f \wedge \text{id} \downarrow & \nearrow \exists \tilde{\gamma} & \downarrow f \\ G \wedge H & \xrightarrow{\gamma} & G \end{array}$$

commute up to homotopy and the homotopies compatible with the stationary homotopy of the outer square.

- ▶ Homotopy normal map in the sense of James (1967) only requires the commutativity of the lower triangle.

# Immediate consequences

- ▶ If  $f: H \rightarrow G$  is an  $N_k(\ell)$ -map and  $k \geq k'$  and  $\ell \geq \ell'$ , then  $f$  is an  $N_{k'}(\ell')$ -map.
- ▶ If  $f: H \rightarrow G$  is a crossed module, then  $f$  is an  $N_\infty(\infty)$ -map.
- ▶ The homomorphism  $f: H \rightarrow *$  is an  $N_k(\ell)$ -map if and only if  $\text{conj}_H: H \rightarrow \mathcal{A}_\ell(H, H)$  is homotopic to the constant map as an  $A_k$ -map.
  - ▶ The latter condition is equivalent to being a  $C(k, \ell)$ -space introduced by Kishimoto and Kono (2010).
  - ▶  $C(\infty, \infty)$ -space and Sugawara  $C_\infty$ -space are known to be equivalent. Then we conclude that  $H \rightarrow *$  is an  $N_\infty(\infty)$ -map if and only if  $BH$  is an  $H$ -space.
  - ▶ This is analogous to the fact that  $H \rightarrow *$  is a crossed module if and only if  $H$  is commutative.

# Results

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# Equivariant and fiberwise homotopy theory

- ▶ The Borel construction defines the correspondence

$$\text{a } G\text{-space } X \quad \mapsto \quad \text{a fiberwise space } EG \times_G X \rightarrow BG.$$

This provides an “equivalence” between the  $G$ -equivariant homotopy theory and the fiberwise homotopy theory over  $BG$  in an appropriate sense.

- ▶  $EG$  denotes the universal  $G$ -bundle over  $BG$ . The restriction to  $B_k G$  will be denoted by  $E_k G$ .
- ▶ The idea of the main theorem is based on this kind of equivalence.



# Main theorem

- **Theorem (T. 2023).** Let  $f: H \rightarrow G$  be a homomorphism and  $F: E_k H \times_H H \rightarrow E_k G \times_G G$  denote the induced map of  $f$ . Then  $f$  is an  $N_k(\ell)$ -map if and only if there exists a fiberwise  $A_\ell$ -space  $\mathcal{E} \rightarrow B_k G$  and  $F$  factors as

$$E_k H \times_H H \xrightarrow{\phi} \mathcal{E} \xrightarrow{\psi} E_k G \times_G G$$

up to homotopy over  $B_k f: B_k H \rightarrow B_k G$  such that the following conditions hold:

- $\phi$  covers  $B_k f$  and  $\psi$  covers the identity on  $B_k G$ ,
- $\phi$  and  $\psi$  are fiberwise  $A_\ell$ -maps,
- $\phi$  is a weak homotopy equivalence on each fiber,
- the restriction of  $\psi \circ \phi$  to the fiber over the basepoint is homotopic to  $f$  as an  $A_\ell$ -map.

## Remark on main theorem

- ▶ Roughly, this theorem states that  $f: H \rightarrow G$  is an  $N_k(\ell)$ -map if and only if the following “unusual” factorization of  $F: E_k H \times_H H \rightarrow E_k G \times_G G$  exists:

$$\begin{array}{ccccc} H & \xlongequal{\quad} & H & \xrightarrow{f} & G \\ \downarrow & & \downarrow & & \downarrow \\ E_k H \times_H H & \longrightarrow & \mathcal{E} & \longrightarrow & E_k G \times_G G \\ \downarrow & & \downarrow & & \downarrow \\ B_k H & \xrightarrow{B_k f} & B_k G & \xlongequal{\quad} & B_k G \end{array}$$

## Remark on main theorem (continued)

- ▶ The “usual” factorization is as follows. The middle column is induced from the conjugation action of  $H$  on  $G$  through  $f$ .

$$\begin{array}{ccccc}
 H & \xrightarrow{f} & G & \xlongequal{\quad} & G \\
 \downarrow & & \downarrow & & \downarrow \\
 E_k H \times_H H & \longrightarrow & E_k H \times_H G & \longrightarrow & E_k G \times_G G \\
 \downarrow & & \downarrow & & \downarrow \\
 B_k H & \xlongequal{\quad} & B_k H & \xrightarrow{B_k f} & B_k G
 \end{array}$$

- ▶ This factorization is possible for any homomorphism  $f$ .

## $H$ -structure on Borel construction

- ▶ **Theorem (T. 2023).** Let  $f: H \rightarrow G$  be a homomorphism. Then the Borel construction  $X = EH \times_H G$  is an  $H$ -space if  $f$  is an  $N_k(k)$ -map and  $\text{cat } X \leq k$  (the naturality of the  $H$ -structure is unknown).
- ▶ **Example.** Let  $H = K(\mathbb{Q}, 2n - 1)$  and  $G = K(\mathbb{Q}, 4n - 1)$ . Consider the homomorphism  $f: H \rightarrow G$  with classifying map  $Bf: K(\mathbb{Q}, 2n) \rightarrow K(\mathbb{Q}, 4n)$  corresponding to  $u^2 \in H^{4n}(K(\mathbb{Q}, 2n); \mathbb{Q})$ . Then the Borel construction is

$$EH \times_H G \simeq \text{hofib}(Bf) \simeq S_{(0)}^{2n}.$$

Since  $S_{(0)}^{2n}$  does not admit an  $H$ -structure and  $\text{cat } S_{(0)}^{2n} = 1$ ,  $f$  is not an  $N_1(1)$ -map (a map is not necessarily homotopy normal even if its target is an  $\infty$ -loop space!).

## Preceding results on examples

- ▶ There have been many results on homotopy normality of Lie groups.
- ▶ (James 1967)  
The inclusion  $U(m) \rightarrow U(n)$  is not (2-locally) homotopy normal in the sense of James for  $1 \leq m < n$ . Similar results hold for  $O(m) \rightarrow O(n)$  ( $2 \leq m < n$ ) and  $Sp(m) \rightarrow Sp(n)$  for  $1 \leq m < n$ .
- ▶ Other results include: McCarty (1964), James (1971), Kachi (1982), Furukawa (1985), Furukawa (1987), Furukawa (1995), Kudou–Yagita (1998), Kudou–Yagita (2003), Kono–Nishimura (2003), Nishimura (2006), Kishimoto–T. (2018).
- ▶ These results suggest that  $H \rightarrow G$  tends to fail to be  $p$ -locally homotopy normal for small prime  $p$ .

# Higher homotopy normality of $SU(m) \rightarrow SU(n)$

- ▶ Applying the **fiberwise projective space** functor, the main theorem provides an obstruction theory for  $N_k(\ell)$ -map.
- ▶ By a typical argument using Steenrod operations as mentioned before for commutativity, we obtain the following result.

► **Theorem (T. 2023).**

- If  $p \geq kn + \ell m$ , then the inclusion  $SU(m) \rightarrow SU(n)$  is a  $p$ -local  $N_k(\ell)$ -map.
- If  $\max\{kn - 2, (k - 1)n + 2\} < p \leq kn + 2(\ell - 1)$ , then the inclusion  $SU(2) \rightarrow SU(n)$  is not a  $p$ -local  $N_k(\ell)$ -map for  $n \geq 3$ .
- If  $\max\{kn - m, (k - 1)n + 2\} < p \leq kn + (\ell - 2)m$ , then the inclusion  $SU(m) \rightarrow SU(n)$  is not a  $p$ -local  $N_k(\ell)$ -map for  $2 \leq m < n$ .
- This result is not very sharp. For example, the normality is not determined when  $kn + (\ell - 2)m < p < kn + \ell m$ .
- A similar result is obtained for  $SO(2m + 1) \rightarrow SO(2n + 1)$ .

## 3-local normality of $SU(2) \rightarrow SU(3)$

$k$	1	2	3	4	5
$N_k(1)$	X	X	X	X	X
$N_k(2)$	X	X	X	X	X
$N_k(3)$	X	X	X	X	X
$N_k(4)$	X	X	X	X	X
$N_k(5)$	X	X	X	X	X



## 5-local normality of $SU(2) \rightarrow SU(3)$

$k$	1	2	3	4	5
$N_k(1)$	✓	?	?	?	?
$N_k(2)$	✗	✗	✗	✗	✗
$N_k(3)$	✗	✗	✗	✗	✗
$N_k(4)$	✗	✗	✗	✗	✗
$N_k(5)$	✗	✗	✗	✗	✗

## 7-local normality of $SU(2) \rightarrow SU(3)$

$k$	1	2	3	4	5
$N_k(1)$	✓	?	?	?	?
$N_k(2)$	✓	✗	✗	✗	✗
$N_k(3)$	✗	✗	✗	✗	✗
$N_k(4)$	✗	✗	✗	✗	✗
$N_k(5)$	✗	✗	✗	✗	✗

# 11-local normality of $SU(2) \rightarrow SU(3)$

$k$	1	2	3	4	5
$N_k(1)$	✓	✓	✓	?	?
$N_k(2)$	✓	✓	✗	✗	✗
$N_k(3)$	✓	?	✗	✗	✗
$N_k(4)$	✓	✗	✗	✗	✗
$N_k(5)$	✗	✗	✗	✗	✗

# Summary

- ▶  $N_k(\ell)$ -map is a higher homotopical analogue of crossed module and normal subgroup.
- ▶  $N_k(\ell)$ -map is characterized by fiberwise  $A_\ell$ -maps over  $k$ -th projective spaces.
- ▶ The Borel construction  $EH \times_H G$  of an  $N_k(k)$ -map  $f: H \rightarrow G$  is an  $H$ -space if  $\text{cat } EH \times_H G \leq k$  holds.
- ▶ Fiberwise projective space provides a method to detect obstructions to being  $N_k(\ell)$ -maps.

Thank you!